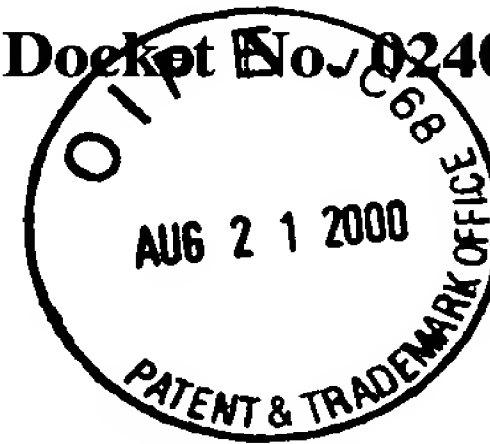


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 024051/0135



In re patent application of

Gary Alan KEMP II, et al.

Serial No.: 09/590,692

Group Art Unit: 2761

Filed: June 9, 2000

Examiner: Unassigned

For: CLICK BASED TRADING WITH INTUITIVE GRID DISPLAY OF MARKET DEPTH

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.56

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on a modified Form PTO-1449 is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. § 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §§ 1.97-1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 C.F.R. § 1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The instant Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b), within three months of the filing date.

The listed documents were discovered through a search conducted in preparation of a Petition to Make Special, filed concurrently herewith.

Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

August 21, 2000

Date



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